

Client's Declaration for Oversea Securities Trading Access Right (Individual/Corporate Account)

海外證券交易使用權限客戶聲明 (個人 / 公司賬戶)

Name of Client:

客戶名稱

Account No.:

賬戶號碼

Address:

地址

Please ✓ the appropriate box. 請在適當方格內✓。

I/We, being the ultimate beneficial owner of the captioned account, hereby request for activation of trading services for the chosen overseas securities markets, and confirm the corresponding declaration(s):

本人/吾等為上述帳戶最終受益人，在此要求啟動所選的海外證券市場交易服務及確認以下相應的聲明：

1. Please activate the follow relevant Oversea Securities Trading Access Right

請啟動以下有關海外證券交易使用權限

Request for Activation 啟動	Market 市場	Declaration(s) 聲明
<input type="checkbox"/>	Australia 澳洲	I/We (including legal entity) do not hold Australian nationality / citizenship / tax residency status. 本人/吾等(包括法人)沒有持有澳洲國籍、公民或稅務居民資格。
<input type="checkbox"/>	Canada 加拿大	I/We (including legal entity) do not hold Canadian nationality / citizenship / tax residency status. 本人/吾等(包括法人)沒有持有加拿大國籍、公民或稅務居民資格。
<input type="checkbox"/>	Finland 芬蘭	I/We (including legal entity) do not hold Finnish nationality / citizenship / tax residency status. 本人/吾等(包括法人)沒有持有芬蘭國籍、公民或稅務居民資格。
<input type="checkbox"/>	Taiwan 臺灣	I/We (including legal entity) do not hold any nationality / citizenship / tax residency status of People's Republic of China (PRC) or Taiwan; 本人/吾等(包括法人)沒有持有任何中國國籍或臺灣公民或稅務居民身分； my/our residence/ office / correspondence / registered / business address is not in PRC or Taiwan ; or 本人/吾等的居住/ 工作 / 通訊 / 註冊 / 營業地址並非於中國或臺灣；或 the sources of investment fund does not come from PRC or Taiwan. 用作投資的資金來源並不是來自中國或臺灣。
<input type="checkbox"/>	Japan 日本	I/We (including legal entity) do not fall under the category of "Corporate Insider" as stipulated in Article 166 of the Financial Instruments and Exchange Act. 本人/吾等(包括法人)並非Financial Instruments and Exchange Act (《金融商品取引法》) 第166條內所訂明的日本上市公司內幕信息知情人士。

2. I/We understand that the relevant declaration(s) does not constitute the success of the application. Soochow Securities International Brokerage Limited ("SCSIB") makes a final and uncontestable decision and from time to time amends the service status based on account information and relevant

rules and laws, without prior notice and is not liable for any liability or claim in any format.

本人/吾等明白這些相關聲明不構成申請成功。東吳證券國際經紀有限公司（「東吳證券國際」）將根據戶口資料和相關法規作出最終和不可抗辯的決定和不時更改服務狀態，而且不予通知和不會承擔任何形式的責任或賠償。

3. I/We understand that it is my/our duty to immediately inform SCSIB should there be any change of my/our nationality / citizenship / tax residency status. I/We also understand that it is my/our duty to immediately inform SCSIB should I/we fall under the category of "Corporate Insider" as stipulated in Article 166 of the Financial Instruments and Exchange Act.

本人/吾等明白，若本人/吾等更改國籍、公民或稅務居民資格，有責任立即通知東吳證券國際。本人/吾等亦明白，若本人/吾等被視為 Financial Instruments and Exchange Act（《金融商品取引法》）第166條內所訂明的日本上市公司內幕信息知情人士，有責任立即通知東吳證券國際。

4. I/We will bear all consequences and assume full responsibilities resulting from relying on my/our relevant declaration(s) including indemnification of SCSIB for any losses, liabilities, costs, claims, actions, demands or whatsoever arising therefrom.

本人/吾等將承擔所有因本相關聲明所導致的責任及後果，包括對東吳證券國際的任何損失、義務、費用、索償、行動或其他由此所產生的賠償。

5. The relevant declaration shall be exclusively governed by and construed in accordance with the laws of Hong Kong. The exclusive jurisdiction for any disputes arising out of this declaration will be the courts of Hong Kong.

本相關聲明依據中華人民共和國香港特別行政區法律執行及詮釋。中華人民共和國香港特別行政區法院將就本聲明引起之任何糾紛有專屬管轄權。

Client's Signature 客戶簽署:

Date 日期:

For Office Use Only			
AE Signature:		Operation Manager / RO Signature:	
Name (AE Code):		Name:	
Date:		Date:	
Signature verified by:	Input by:	<input type="checkbox"/> D90 Taiwan <input type="checkbox"/> D68 Canada <input type="checkbox"/> D68B Australia <input type="checkbox"/> D68C Finland	Checked by:
Date:	Date:	Date:	Date: